

Legal/Client Portal Account Disclosures

Avantax WM HoldingsSM is the holding company for the group of companies providing financial services under the AvantaxSM name. Securities offered through Avantax Investment ServicesSM, Member FINRA, SIPC. Investment advisory services offered through Avantax Advisory ServicesSM and Avantax Planning PartnersSM. Insurance services offered through licensed agents of Avantax Insurance AgencySM, Avantax Insurance ServicesSM, and Avantax Planning Partners. 3200 Olympus Blvd., Suite 100, Dallas, TX 75019, 972-870-6000

Although Avantax does not provide or supervise tax or accounting services, our Financial Professionals may offer these services through their independent outside business. Not all Financial Professionals are licensed to offer all products or services. Financial planning and investment advisory services require separate licenses. For additional information ask your Financial Professional or contact us toll-free at 888-438-3781.

Avantax Wealth Management, Inc. ("Avantax") may provide you with access to certain online aggregation or financial planning platforms that allow you to view your financial information including assets held outside of an Avantax brokerage or advisory account. The information and data in this client portal is for informational purposes only, were obtained from sources considered reliable. The user is responsible for verifying the accuracy of the data received. The information contained on the online aggregation portals should not be used as a substitute for any monthly, quarterly or annual statements by the custodian for each of your accounts.

Avantax has relied on account information provided by your account custodian(s). This information has not been independently verified nor does it reflect the impact of income taxes.

Subject to appropriate licensing, Avantax offers different types of accounts and levels of service that can have important implications in terms of the legal rights and responsibilities that attach to your relationship with Avantax. Unless you have separately contracted for investment advisory or financial planning services, any analysis performed is not an investment advisory account or a comprehensive review of all of your financial needs in connection with a financial plan. Not all Avantax Financial Professionals are licensed to offer all products or services. For additional information ask your Financial Professional or contact us toll-free at (888) 438-3781.

Different types of investments involve varying degrees of risk. It should not be assumed that future performance of any specific investment, investment strategy or product, or any non-investment related services, content or advice will prove successful or profitable, or equal any historical performance level(s). Investments should be chosen based on your particular situation, including your objectives, investment time horizon, liquidity needs and tolerance for market fluctuations. You should communicate information relevant to assessing your needs to your Financial Professional, and update your account profile regularly as your needs change. Past performance is no guarantee of future results.

It is your exclusive obligation and sole responsibility to notify Avantax and/or your Avantax Financial Professional if there is a change in your financial situation or overall long-term investment objective(s). This includes, but is not limited to personal financial status, change in goals, needs or concerns regarding the information contained in this portal, statements, confirmations and or tax documentation.